

Edited by Devon L. L. Polaschek, Andrew Day, Clive R. Hollin

THE WILEY INTERNATIONAL HANDBOOK OF

Correctional Psychology

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The Wiley International Handbook of Correctional Psychology

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Edited by

Devon L. L. Polaschek, Andrew Day, and Clive R. Hollin

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To Harry Love and Paul Gendreau for their distinct contributions to correctional psychology in Aotearoa/ New Zealand (DLLP)

To Kevin Howells, the spiritual leader of correctional psychology in Australia (AD)

To James McGuire, psychologist extraordinaire (CRH)

The contributions to the book represent creative and systematic work that was undertaken to increase the stock of knowledge on this topic.

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Paul Gendreau, OC, PhD, trained at University of Ottawa and Queen's University, Ontario, Canada. After working at Kingston Penitentiary, Ontario, from 1961, he held a series of academic appointments at Canadian universities, and remains an Emeritus Professor at the University of New Brunswick. He has published extensively on "what works" with offenders, program implementation, effects of prison life, and the use of statistics in knowledge cumulation. In 2007, Dr. Gendreau was appointed an Officer of the Order of Canada "for achievement and merit of a high degree, especially service to Canada or to humanity at large."

Claire Goggin holds a PhD in psychology from the University of New Brunswick, Canada, and is now an Assistant Professor at St. Thomas University, New Brunswick. Her research interests include correctional program evaluation, the effects of imprisonment; empirical research methodologies and statistics, particularly meta-analysis; and knowledge cumulation and transfer. Recent projects include an examination of inscription practices in selected scientific disciplines; a meta-analysis of the effects of imprisonment on offender recidivism and emotional wellbeing; an examination of the relationship between rates of homicide and capital punishment in Canada between 1920 and 1949; and a prospective study of the socialization process among police officers.

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Gabrielle Klepfisz completed a bachelor's degree in psychology at Monash University, Australia, in addition to her fourth-year honors, during which she investigated violent offender treatment change. She has continued this research as a doctoral candidate in the Doctor of Psychology (Clinical and Forensic) at Swinburne University of Technology. Ms. Klepfisz has worked as a research assistant both in Australia and in Canada. She has gained clinical experience working with individuals in community and inpatient forensic settings as well as with various mental health concerns, including psychosis, depression and suicidality, anger, social and generalized anxiety, obsessive compulsive disorder, hoarding disorder, and past sexual/physical abuse.

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James McGuire is Emeritus Professor of Forensic Clinical Psychology at the University of Liverpool, UK. He has worked in intellectual disability services and in a high-security hospital, has carried out research in probation services, prisons, youth justice, addictions units, and other settings on aspects of psychosocial rehabilitation with offenders, and designed and evaluated a number of intervention and staff training programs. He has published widely on these and related issues, and has acted as a consultant to criminal justice agencies in a number of countries.

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Damon Mitchell, PhD, is a Professor of Criminology and Criminal Justice at Central Connecticut State University. As a criminal justice consultant, he has developed and delivered training workshops related to forensic assessment and treatment, and conducted evaluations of criminal justice programs. He is co-editor of and a contributor to *Forensic CBT: A Handbook for Clinical Practice* (with R. C. Tafrate, 2014) and also a contributor to *Cognitive Therapy of Personality Disorders—Third Edition* (2015). His most recent book, *CBT with Justice-Involved Clients: Interventions for Antisocial and Self-Destructive Behaviors*, was published in 2018 (coedited with R. C. Tafrate and D. J. Simourd).

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Mark E. Olver, PhD, is a Full Professor and Registered Doctoral Psychologist at the University of Saskatchewan, in Saskatoon, Canada, where he is involved in program administration, graduate and undergraduate teaching, research, and clinical training. Mark's research interests

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Rob Paramo is a UK trained Registered Forensic Psychologist. He now lives and works in Wellington, New Zealand. He has worked for the Department of Corrections in frontline and national office roles for the past 8 years. His passion for rehabilitation programs started 17 years ago as a therapist working on sex offender programs. Since this time, he has worked supervising, managing, and later supporting nationally delivered programs in both HM Prison Service and NZ Corrections. His areas of interest include programs for complex and high-risk sexual and violent offenders, as well as program development, evaluation, and quality systems.

Adrienne M. F. Peters is an Assistant Professor in the Department of Sociology at Memorial University of Newfoundland and a Research Associate with the Centre for Public Safety and Criminal Justice Research, Canada. Her research areas include young offender intervention programming and rehabilitation; serious—/violent youth offending; mental health and delinquency; youth and sexual offenses; youth justice legislation and policy; offender rehabilitation and reentry; evidence-based policing; and collaborative crime reduction strategies. She is the Principal Investigator of a Social Sciences and Humanities Research Council-funded project titled A Longitudinal Study of the Reoffending Outcomes of Serious-Violent, Gang-Involved, Mentally Disordered, and Sexual Offenders Supervised on Specialized Youth Probation.

Karen Salmon is a Clinical Psychologist who held an academic appointment at the University of New South Wales (Sydney, Australia) for 10 years, before returning to New Zealand and Victoria University of Wellington in 2007. Karen Salmon's research focuses on the role of adolescent autobiographical memory in the development of child and adolescent psychopathology and in psychological wellbeing. For example, she has investigated the influence of everyday conversations between adults and children on the children's memory for their emotional experiences and on their developing emotion competence, and currently is focusing on the role of specific memory biases in children and adolescents' psychological functioning.

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Jennifer L. Skeem is the Mack Distinguished Professor and Associate Dean of Research in Social Welfare, and Professor of Public Policy at the University of California, Berkeley. Her research is designed to inform legal decision-making about people with emotional and behavioral problems. Her current work addresses a recent surge of interest in the use of risk assessment to inform criminal sentencing, including how this practice may affect racial and

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Armon J. Tamatea is a Clinical Psychologist of Māori (*Rongowhakaata*; *Te Aitanga-A-Maa-haki*) and English descent who served as a clinician and Senior Research Advisor for the Department of Corrections (New Zealand) before being appointed Senior Lecturer in Psychology at the University of Waikato. He has worked extensively in the assessment and treatment of violent and sexual offenders, and contributed to the design and implementation of an experimental prison-based violence prevention program for high-risk offenders with psychopathy. His research interests include New Zealand gang communities, psychopathy, and culturally informed approaches to offender management.

Jayne L. Taylor is a Clinical Psychologist working within the Adult Forensic Service of the Specialist Services Network, Greater Manchester Mental Health NHS Foundation Trust (GMMH), UK. She is also Honorary Lecturer at the University of Manchester in the UK. Since her arrival at GMMH in 2002, Dr. Taylor has specialized in the treatment of women with complex difficulties who are at risk to themselves or others, across settings and security levels. She is currently the Lead Psychologist for the Women's Service in the Adult Forensic Service, and teaches at universities across the region.

Faye S. Taxman, PhD, is a Professor in the Criminology, Law and Society Department and Director of the Center for Advancing Correctional Excellence at George Mason University. Dr. Taxman has published more than 190 articles, including "Tools of the Trade: A Guide to Incorporating Science into Practice," and is co-author of *Implementing Evidence-Based Community Corrections and Addiction Treatment* (with S. Belenko, 2012). The American Society of Criminology's Division of Sentencing and Corrections has recognized her as Distinguished Scholar twice. She has also received the Rita Warren and Ted Palmer Differential

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Stephanie A. Van Horn is a doctoral candidate in counseling psychology at Texas Tech University. Ms. Van Horn's research interests include correctional rehabilitation program evaluation, measurement invariance in risk assessments, the effects of incarceration on women offenders, and gender-specific challenges to successful community reentry.

Kate Walker is a Research Fellow in the Centre for Advances in Behavioural Science (CABS) at Coventry University, UK. Her main research focus is desistance from intimate partner violence and the behavioral changes associated with this process, and the development and evaluation of primary and tertiary interventions for the prevention of violence and interpersonal aggression in adult and adolescent populations. She has recently developed, implemented, and delivered a solution-focused brief therapy intervention for partner-violent men and women, both in prison and in the community. Her research also focuses on non-consensual sharing of sexually explicit media (image-based sexual abuse).

Glenn D. Walters, PhD, is a Professor in the Department of Criminal Justice at Kutztown University in Kutztown, Pennsylvania, where he teaches classes in corrections, criminological theory, research methods, and substance abuse and crime. Prior to this he worked as a Clinical Psychologist and Drug Treatment Coordinator for the Federal Bureau of Prisons. Dr. Walters has published over 300 book chapters and peer-reviewed journal articles and 19 books and monographs. His current research interests include offender therapy and assessment, the drug–crime relationship, causal mediation analysis, and the development of an overarching psychological theory of criminal behavior.

Kayla A. Wanamaker is a PhD candidate at Carleton University in Ottawa, Canada, working under the supervision of Dr. Shelley Brown in the Gender and Crime Lab. Her dissertation work is focused on determining whether there are gender-specific crime trajectories, incorporating both strength and risk factors that remain stable over time, and includes a validation study of a gender-responsive risk assessment tool. Kayla is also a Research Analyst at Public Safety Canada, examining the importance of effective training in community supervision and how it may aid in the reduction of recidivism rates among male and female offenders.

Nick J. Wilson has been working as a Clinical Psychologist for the New Zealand Department of Corrections for the past 18 years, and is currently Principal Adviser, Psychological Research, with responsibility for the provision of specialist training, expert witness testimony, and research in the area of risk assessment and offender management and treatment (i.e., development of the Dynamic Risk Assessment Offender Re-entry (DRAOR) and Structured Dynamic Assessment Case-Management-21 (SDAC-21) tools). Dr. Wilson has a long-standing interest in criminal psychopathy and personality disorder, its assessment and treatment, and has conducted research and provided clinical services and training in this area since 2000.

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Part I Correctional Psychology in Context

Correctional Psychology: A Short History and Current Standing

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Correctional psychology is much practiced, but rarely defined. Contemporary correctional systems have employed psychologists for many years now, but it is by no means easy to describe the professional roles of those who work in correctional settings. Additional challenges have arisen that followed the introduction of legislation that defines psychologists as allied health practitioners (Allan, 2013), given that some, if not most, of the work that correctional psychologists do does not fall neatly into the category of a health service. It is also the case that correctional practice does not always closely align with the academic research and teaching of psychology that underpins it (Brodsky, 2007; Clements et al., 2007). And, to complicate matters even further, the term correctional psychology has a number of different meanings; it not only refers to professional psychologists who practice in corrections, but also to the wider application of psychology to corrections, and the use of psychological research to inform correctional policy and practice. The term is defined, in part, by public and correctional staff perceptions about what psychology is, and its perceived utility in the correctional system, as well as perceptions more generally about the status and utility of science, what causes crime, and what works to reduce it. Unsurprisingly then, correctional psychologists are sometimes uncertain about their professional identity, and may find themselves practicing in environments that are hostile to their ways of working.

This Handbook represents the efforts of many people who have expertise in correctional psychology, and, collectively, their contributions help define what correctional psychology represents in practice, and identify how it can contribute to more effective correctional service delivery. We recognize at the outset the importance of compiling a resource that will inspire and support the next generation of psychologists who want to make a difference, as well as reminding experienced practitioners that this is an exciting and important field.

Today's correctional systems can be understood in terms of their primary role to administer sentences that are handed down by the criminal courts. But although correctional psychology

has sometimes been defined as applying only to convicted offenders (e.g., Morgan, Beer, Fitzgerald, & Mandracchia, 2007), correctional agencies have a secondary mandate to safely contain people who are remanded in various forms of custody while they await trial or sentencing. Correctional psychology has also often been defined primarily in relation to work that occurs in prisons (Biere & Mann, 2017; Gendreau & Goggin, 2013; Magaletta, Patry, Dietz, & Ax, 2007), despite correctional systems in most countries having responsibility for the administration of both custodial and community sentences.

It is also instructive to reflect on what it is that correctional psychologists actually do in practice, even though this varies in emphasis across jurisdictions. In the USA, for example, Dvoskin and Morgan (2010) have proposed that the role typically involves three main activities: (a) the treatment of mentally disordered offenders and the provision of mental health treatment; (b) the rehabilitation of offenders for the purposes of reducing criminal risk and improving community safety; and (c) the smooth and safe running of the correctional system itself. In other Western countries, there are clearer boundaries between those who work with mentally disordered offenders—which remains for the most part the province of mental health systems—and those who work to rehabilitate offenders for risk reduction purposes (Soothill, Rogers, & Dolan, 2008). A further consideration is the extent to which correctional psychologists practice directly with offenders or are responsible more widely for the application of psychology by other parts of the correctional system (e.g., in the selection or training of prison officers or by advising on prisoner management or sentence compliance). Contributions in each of these areas can assist the correctional system to achieve its legislative mandate, which can be best understood in terms of the broad aims of containing, punishing, and reforming offenders, with the main differences between jurisdictions lying largely in the emphasis placed on each (see Table 1.1). Importantly though, all three aims are linked to outcomes that are potentially measurable in terms of human behavior. So, while psychology does not generate all of the knowledge needed for correctional systems to achieve these respective aims, it clearly has something to contribute to each.

We start by providing a brief overview of the history of correctional practice, which serves to remind us of how different systems can be, depending on how human behavior is understood. This approach helps us to position current practice in the broader context of community responses to antisocial behavior and law-breaking, and takes us into the modern era, with its focus on the development of psychological rehabilitation programs.

A Brief History of Correctional Trends

Punishment

In any society, the dominant explanation for the causes of an offender's wrongdoing will play a role in determining how that society deals with the individual offender. One of the oldest explanations for criminal behavior lies in possession by evil spirits and demons. In Christianity at least, this belief in demonic possession sat alongside, not unsurprisingly, a corresponding belief in the omniscient power of God. These twin beliefs formed the basis for the practice of *trial by ordeal*. Given that God would always intervene on behalf of the innocent—the principle of *judicium Dei*, a judgment by God in favor of the guiltless—it was believed that in a trial, which literally threatened life and limb, the innocent would emerge unscathed while the guilty would suffer or die.